

# LLyfr cyntaf Moses yr hwnaelwir GENESIS.

## PENNOD I.

Creadwriaeth y nef, a'r ddaiar, 2 Y goleuni a'r tywyllwch, 8 Y ssurfaen, 16 Y pync, yr adar, a'r anifeiliaid, 26 A dyn. 29 LLynniaeth dyn ac anifail.

wyth eu rhywogaeth : a Duw a welodd mai da  
oedd,

13 Felly ydhywys a fu, a'r borau a fu, y try-  
dydd dydd.

## Natural Language Processing

Info 159/259

Lecture 14: Dependency parsing (March 7, 2023)

David Bamman, UC Berkeley

# PCFGs

- A PCFG gives us a mechanism for assigning scores (here, probabilities) to different parses for the same sentence.
- But we often care about is finding **the single best parse** with the highest probability.
- We calculate the max probability parse using CKY by storing the probability of each phrase within each cell as we build it up.

$$table(i,j,A) = P(A \rightarrow BC) \times table(i,k,B) \times table(k,j,C)$$

I	shot	an	elephant	in	my	pajamas
---	------	----	----------	----	----	---------

PRP:0.04 [0,1]						
	VBD:0.04 [1,2]					
		DT:0.05 [2,3]				
			NN:0.03 [3,4]			
				IN:0.10 [4,5]		
					PRP\$:0.12 [5,6]	
						NNS:0.01 [6,7]

Probability of a terminal (word)  
given its tag

$$P(A \rightarrow \beta)$$

I	shot	an	elephant	in	my	pajamas
---	------	----	----------	----	----	---------

PRP:0.04 [0,1]	∅	∅				
	VBD:0.04 [1,2]	∅				
		DT:0.05 [2,3]	NP:0.0001 5 [2,4]			
			NN:0.03 [3,4]			
			IN:0.10 [4,5]			
				PRP\$:0.12 [5,6]		
					NNS:0.01 [6,7]	

$$table(2, 4, NP) = P(NP \rightarrow DT\ NN) \times table(2, 3, DT) \times table(3, 4, NN)$$

```

graph TD
    DT[DT:0.05 [2,3]] --> NP[NP:0.0001 5 [2,4]]
    NN[NN:0.03 [3,4]] --> NP
    IN[IN:0.10 [4,5]] --> NP
  
```

I	shot	an	elephant	in	my	pajamas
---	------	----	----------	----	----	---------

PRP:0.04 [0,1]	$\emptyset$	$\emptyset$				
	VBD:0.04 [1,2]	$\emptyset$	VP:0.0000 006 [1,4]			
		DT:0.05 [2,3]	NP:0.0001 5 [2,4]			
			NN:0.03 [3,4]			
			IN:0.10 [4,5]			
				PRP\$:0.12 [5,6]		
					NNS:0.01 [6,7]	

We just calculated this value  
and can use it now

$$table(1, 4, VP) = P(VP \rightarrow VBD\ NP) \times table(1, 2, VBD) \times \text{table}(2, 4, NP)$$

I	shot	an	elephant	in	my	pajamas
PRP:0.04 [0,1]	∅	∅	S: 0.0000000 048 [0,4]			
	VBD:0.04 [1,2]	∅	VP:0.0000 006 [1,4]			
		DT:0.05 [2,3]	NP:0.0001 5 [2,4]			
			NN:0.03 [3,4]			
				IN:0.10 [4,5]		
					PRP\$:0.12 [5,6]	
						NNS:0.01 [6,7]

We just calculated this value  
and can use it now

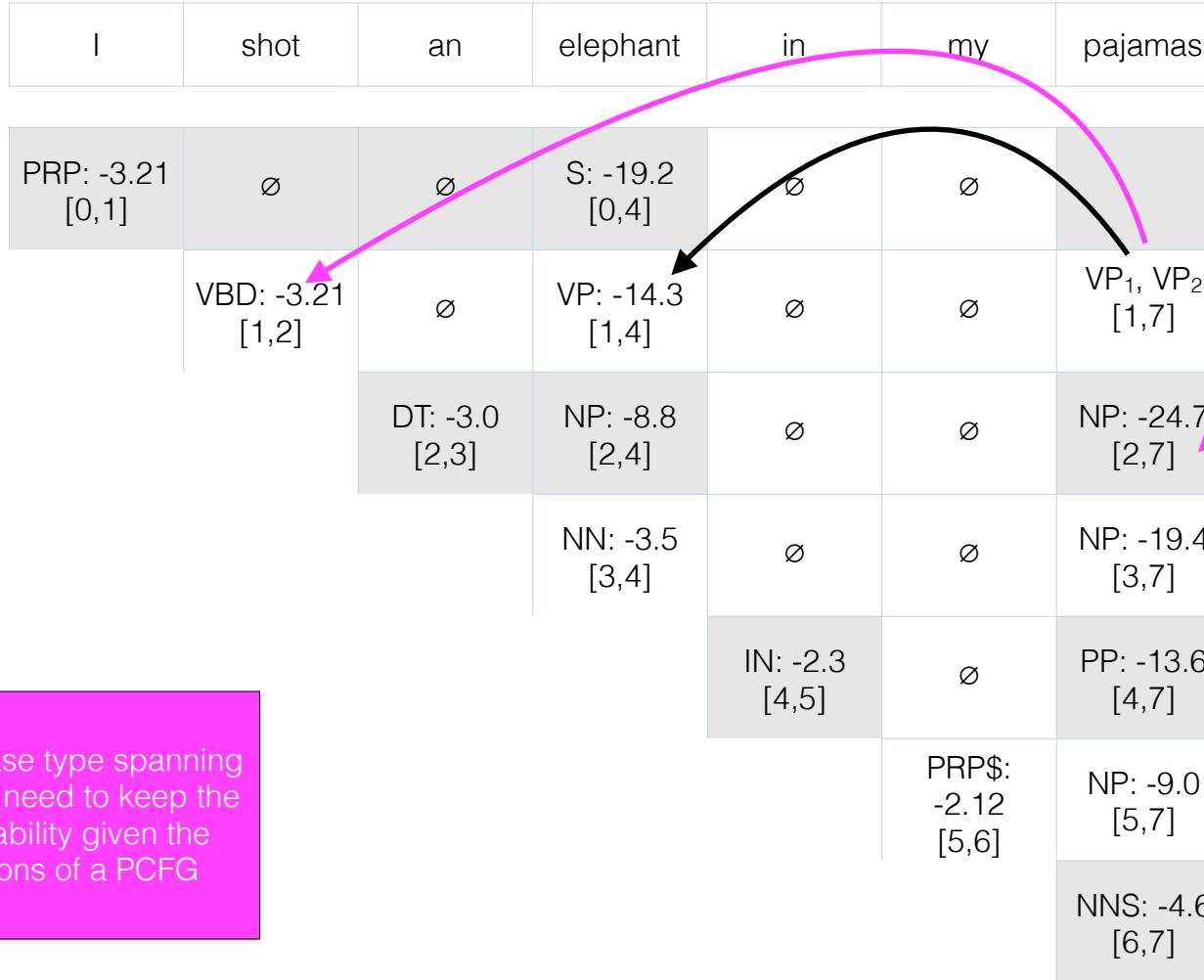
$$table(0, 4, S) = P(S \rightarrow PRP\ VP) \times table(0, 1, PRP) \times table(1, 4, VP)$$

I	shot	an	elephant	in	my	pajamas
PRP:0.04 [0,1]	∅	∅	S: 0.0000000 048 [0,4]			
	VBD:0.04 [1,2]	∅	VP:0.0000 006 [1,4]			
		DT:0.05 [2,3]	NP:0.0001 5 [2,4]			
			NN:0.03 [3,4]			
				IN:0.10 [4,5]		
					PRP\$:0.12 [5,6]	
						NNS:0.01 [6,7]

Note these values are getting very small! Better to add in log space

I	shot	an	elephant	in	my	pajamas
PRP: -3.21 [0,1]	∅	∅	S: -19.2 [0,4]			
	VBD: -3.21 [1,2]	∅	VP: -14.3 [1,4]			
		DT: -3.0 [2,3]	NP: -8.8 [2,4]			
			NN: -3.5 [3,4]			
				IN: -2.3 [4,5]		
					PRP\$: -2.12 [5,6]	
						NNS: -4.6 [6,7]

Note these values are getting very small! Better to add in log space



I	shot	an	elephant	in	my	pajamas
---	------	----	----------	----	----	---------

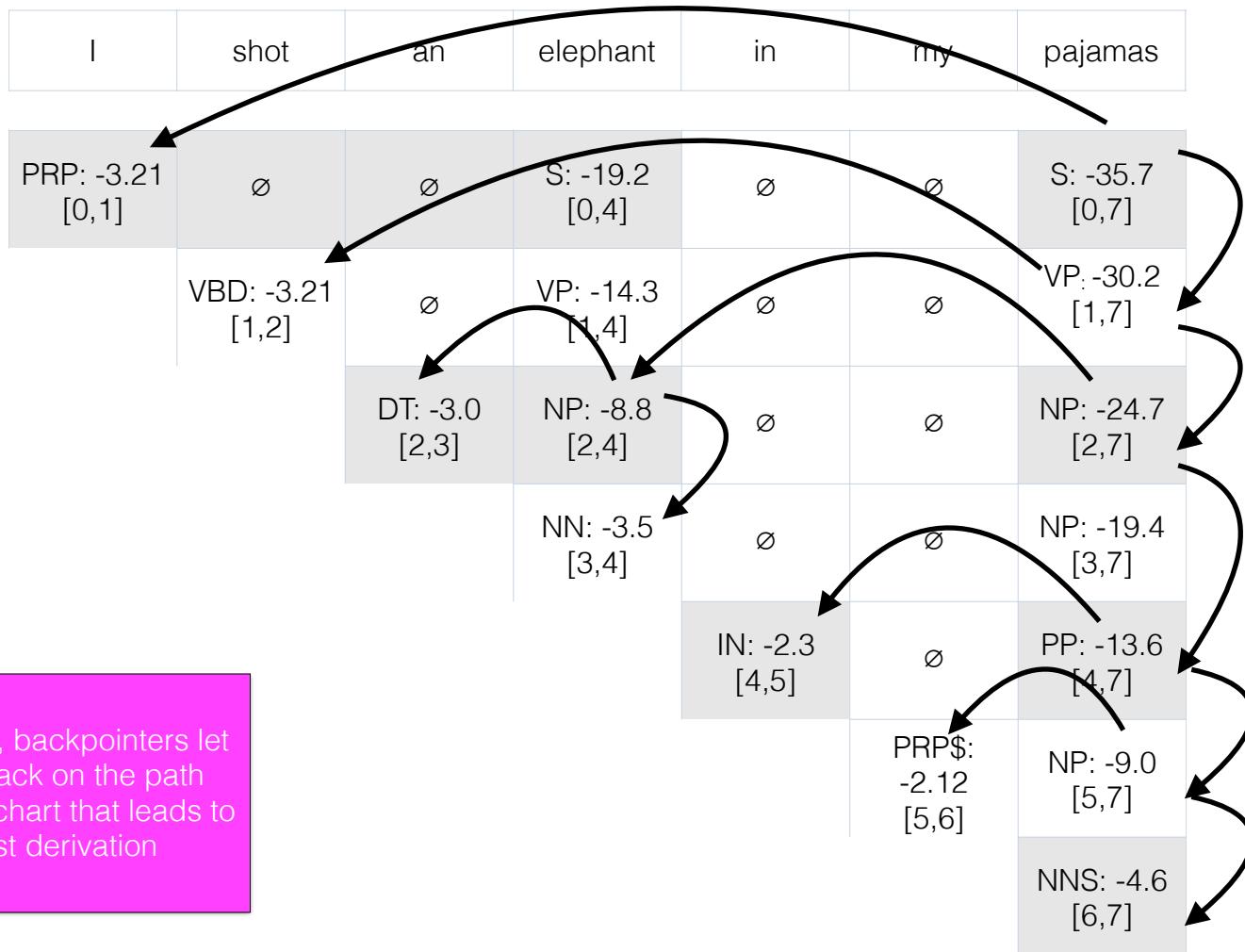
PRP: -3.21 [0,1]	$\emptyset$	$\emptyset$	S: -19.2 [0,4]	$\emptyset$	$\emptyset$	
	VBD: -3.21 [1,2]	$\emptyset$	VP: -14.3 [1,4]	$\emptyset$	$\emptyset$	VP: -30.2 [1,7]
		DT: -3.0 [2,3]	NP: -8.8 [2,4]	$\emptyset$	$\emptyset$	NP: -24.7 [2,7]
			NN: -3.5 [3,4]	$\emptyset$	$\emptyset$	NP: -19.4 [3,7]
				IN: -2.3 [4,5]	$\emptyset$	PP: -13.6 [4,7]
				PRP\$: -2.12 [5,6]	NP: -9.0 [5,7]	
				NNS: -4.6 [6,7]		

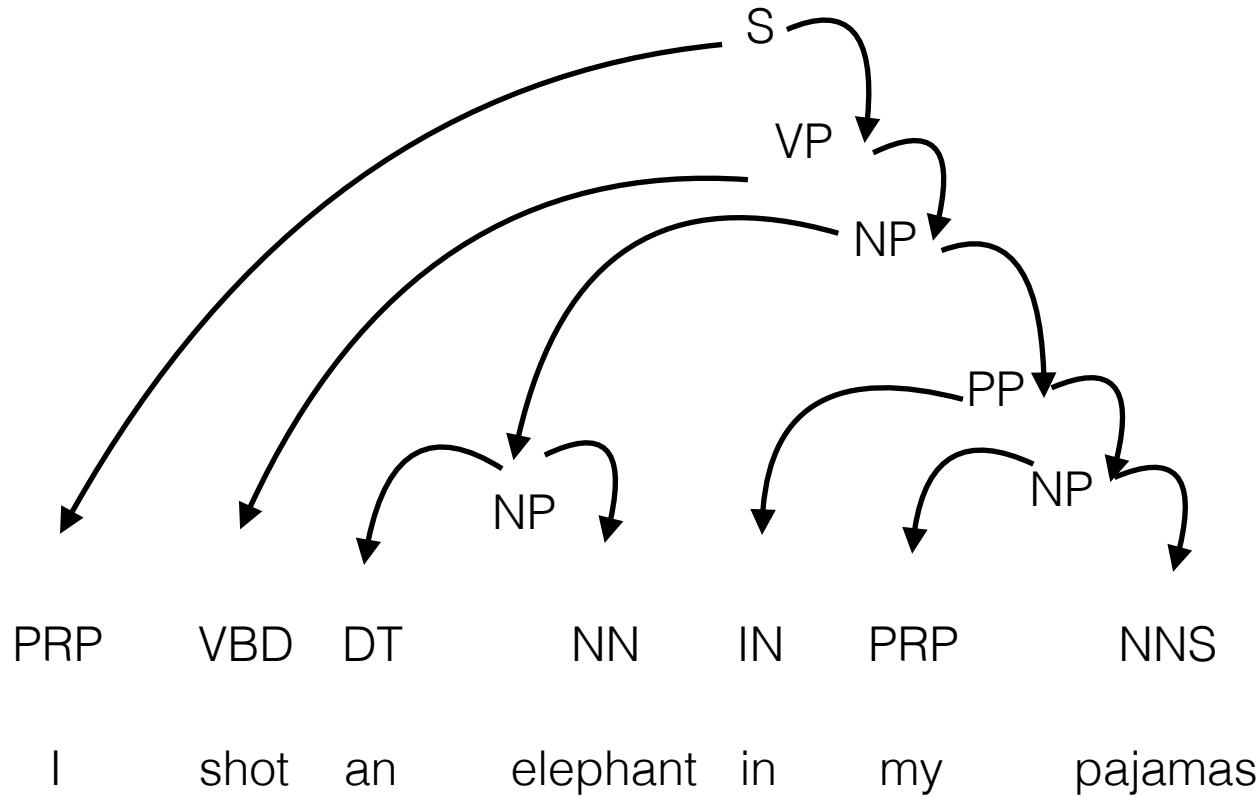
For any phrase type spanning [i,j], we only need to keep the max probability given the assumptions of a PCFG

I	shot	an	elephant	in	my	pajamas
---	------	----	----------	----	----	---------

PRP: -3.21 [0,1]	$\emptyset$	$\emptyset$	S: -19.2 [0,4]	$\emptyset$	$\emptyset$	S: -35.7 [0,7]
	VBD: -3.21 [1,2]	$\emptyset$	VP: -14.3 [1,4]	$\emptyset$	$\emptyset$	VP: -30.2 [1,7]
		DT: -3.0 [2,3]	NP: -8.8 [2,4]	$\emptyset$	$\emptyset$	NP: -24.7 [2,7]
			NN: -3.5 [3,4]	$\emptyset$	$\emptyset$	NP: -19.4 [3,7]
				IN: -2.3 [4,5]	$\emptyset$	PP: -13.6 [4,7]
				PRP\$: -2.12 [5,6]	NP: -9.0 [5,7]	NNS: -4.6 [6,7]

For any phrase type spanning [i,j], we only need to keep the max probability given the assumptions of a PCFG





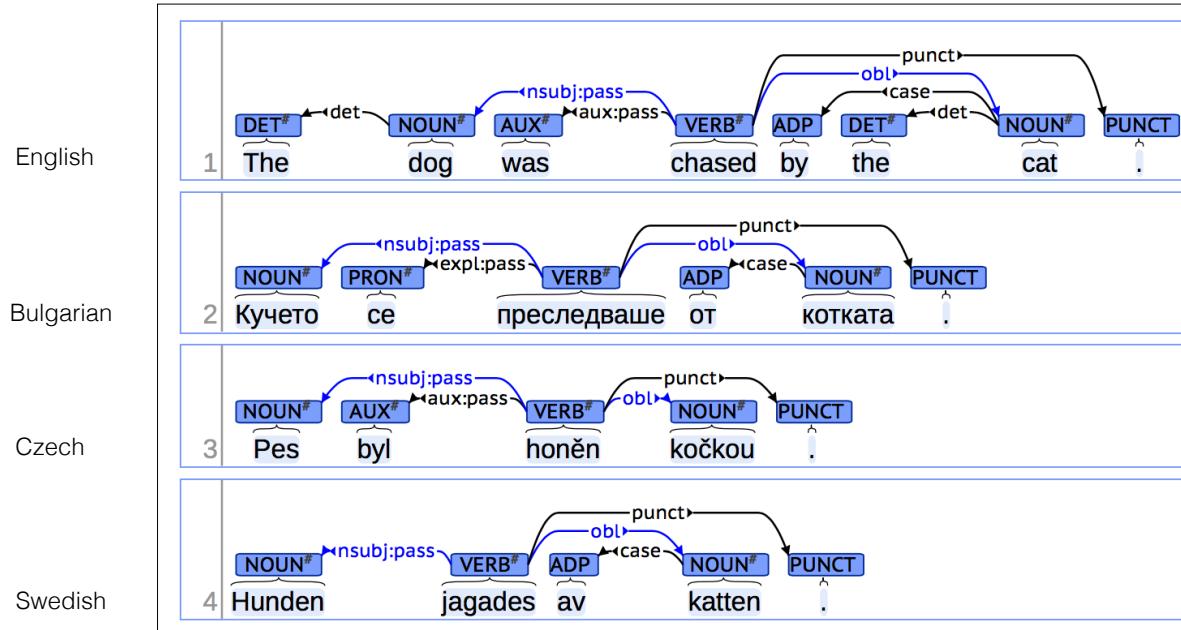
# Dependency syntax

- Syntactic structure = **asymmetric, binary** relations between words.

# Trees

- A dependency structure is a directed graph  $G = (V, A)$  consisting of a set of vertices  $V$  and arcs  $A$  between them. Typically constrained to form a tree:
  - Single root vertex with no incoming arcs
  - Every vertex has exactly one incoming arc except root (single head constraint)
  - There is a unique path from the root to each vertex in  $V$  (acyclic constraint)

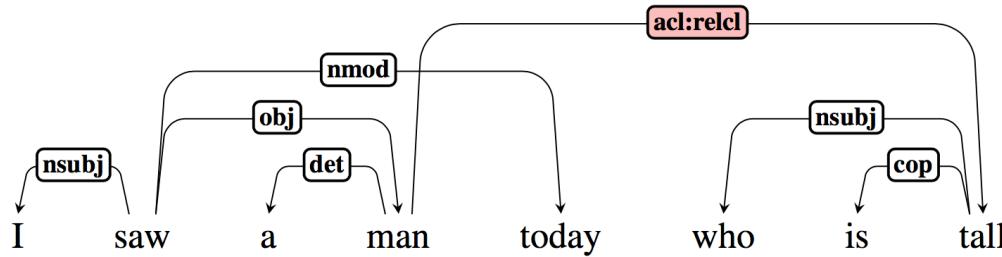
# Universal Dependencies



# Dependency parsing

- Transition-based parsing
  - $O(n)$
  - Only projective structures (pseudo-projective [Nivre and Nilsson 2005])
- Graph-based parsing
  - $O(n^2)$
  - Projective and non-projective trees

# Projectivity



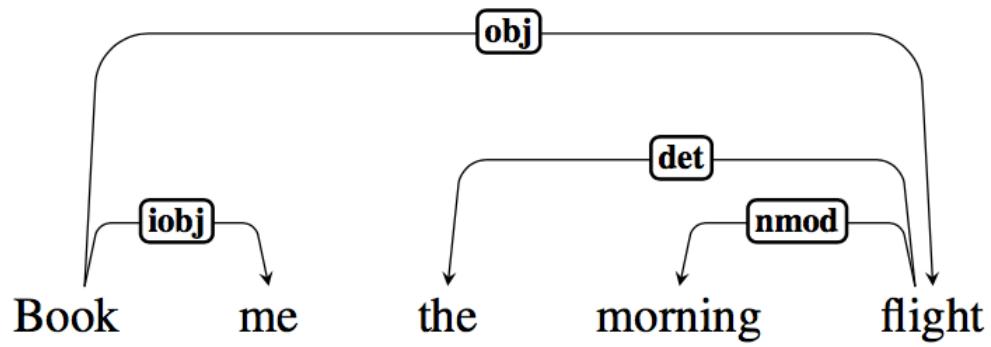
- An arc between a head and dependent is projective if there is a path from the head to every word between the head and dependent. **Every word between head and dependent is a descendent of the head.**

# Transition-based parsing

- Basic idea: parse a sentence into a dependency tree by training a local classifier to predict a parser's next **action** from its current configuration.

# Configuration

- Stack
- Input buffer of words
- Arcs in a parsed dependency tree
- Parsing = sequences of transitions through space of possible configurations



∅ book me the morning flight

stack

---

action

---

arc

---

$\emptyset$  book me the morning flight

stack

---

action

---

arc

---

LeftArc(label): assert relation  
between head at stack<sub>1</sub> and  
dependent at stack<sub>2</sub>; remove  
stack<sub>2</sub>

RightArc(label): assert relation  
between head at stack<sub>2</sub> and  
dependent at stack<sub>1</sub>; remove  
stack<sub>1</sub>



Shift: Remove word from front  
of input buffer ( $\emptyset$ ) and push it  
onto stack

book me the morning flight

stack

---

action

---

arc

---

LeftArc(label): assert relation  
between head at stack<sub>1</sub> ( $\emptyset$ )  
and dependent at stack<sub>2</sub>:  
remove stack<sub>2</sub>

RightArc(label): assert relation  
between head at stack<sub>2</sub> and  
dependent at stack<sub>1</sub> ( $\emptyset$ );  
remove stack<sub>1</sub> ( $\emptyset$ )

$\emptyset$



Shift: Remove word from front  
of input buffer (book) and  
push it onto stack

If we remove an element from the stack,  
it can't have any further dependents

me the morning flight

stack

---

action

---

arc

---

book

$\emptyset$

LeftArc(label): assert relation  
between head at stack<sub>1</sub>  
(book) and dependent at  
stack<sub>2</sub> ( $\emptyset$ ): remove stack<sub>2</sub> ( $\emptyset$ )

RightArc(label): assert relation  
between head at stack<sub>2</sub> ( $\emptyset$ )  
and dependent at stack<sub>1</sub>  
(book); remove stack<sub>1</sub> (book)



Shift: Remove word from front  
of input buffer (me) and push  
it onto stack

the morning flight

stack	action	arc
		$iobj(book, me)$
	LeftArc(label): assert relation between head at stack <sub>1</sub> ( <b>me</b> ) and dependent at stack <sub>2</sub> ( <b>book</b> ); remove stack <sub>2</sub> ( <b>book</b> )	
me	RightArc(label): assert relation between head at stack <sub>2</sub> ( <b>book</b> ) and dependent at stack <sub>1</sub> ( <b>me</b> ); remove stack <sub>1</sub> ( <b>me</b> )	
book		
∅	Shift: Remove word from front of input buffer ( <b>the</b> ) and push it onto stack	

the morning flight

stack

action

arc

*iobj(book, me)*

LeftArc(label): assert relation  
between head at stack<sub>1</sub>  
(book) and dependent at  
stack<sub>2</sub> ( $\emptyset$ ): remove stack<sub>2</sub> ( $\emptyset$ )

RightArc(label): assert relation  
between head at stack<sub>2</sub> ( $\emptyset$ )  
and dependent at stack<sub>1</sub>  
(book); remove stack<sub>1</sub> (book)

book

$\emptyset$

Shift: Remove word from front  
of input buffer (the) and push  
it onto stack

morning flight

stack	action	arc
		$iobj(book, me)$
the	LeftArc(label): assert relation between head at stack <sub>1</sub> (the) and dependent at stack <sub>2</sub> (book); remove stack <sub>2</sub> (book)	
book	RightArc(label): assert relation between head at stack <sub>2</sub> (book) and dependent at stack <sub>1</sub> (the); remove stack <sub>1</sub> (the)	
∅	Shift: Remove word from front of input buffer (morning) and push it onto stack	

flight

stack

---

morning

the

book

∅

action

---

LeftArc(label): assert relation  
between head at stack<sub>1</sub>  
(morning) and dependent at  
stack<sub>2</sub>(the); remove stack<sub>2</sub>  
(the)

RightArc(label): assert relation  
between head at stack<sub>2</sub> (the)  
and dependent at stack<sub>1</sub>  
(morning); remove stack<sub>1</sub>  
(morning)



Shift: Remove word from front  
of input buffer (flight) and  
push it onto stack

arc

---

*iobj(book, me)*

stack	action	arc
flight	LeftArc(label): assert relation between head at stack <sub>1</sub> (flight) and dependent at stack <sub>2</sub> (morning); remove stack <sub>2</sub> (morning)	<i>iobj(book, me)</i>
morning		<i>nmod(flight, morning)</i>
the	RightArc(label): assert relation between head at stack <sub>2</sub> (morning) and dependent at stack <sub>1</sub> (flight); remove stack <sub>1</sub> (flight)	
book		
∅	Shift: Remove word from front of input buffer and push it onto stack	

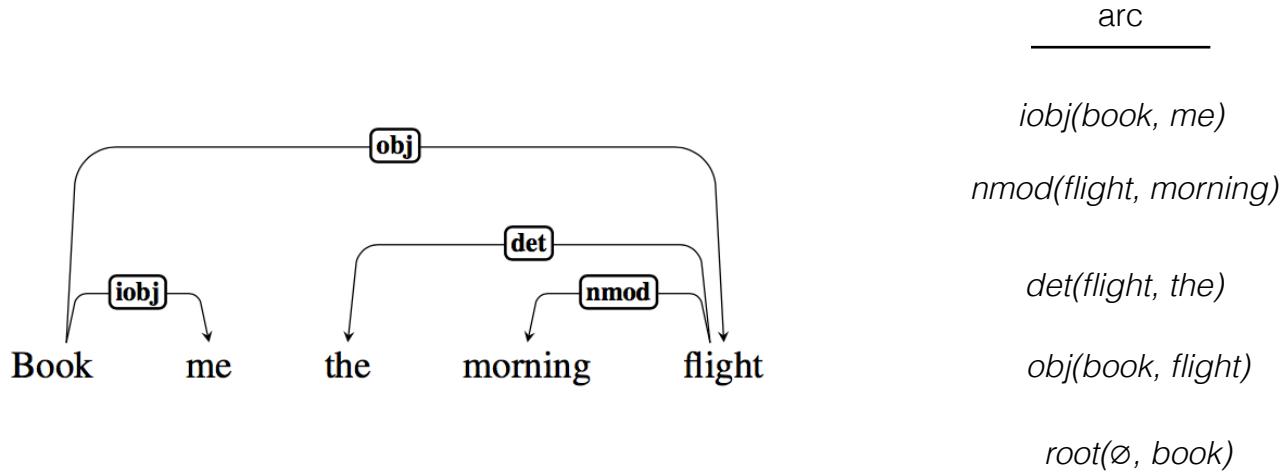
stack	action	arc
flight	☞ LeftArc(label): assert relation between head at stack <sub>1</sub> ( <b>flight</b> ) and dependent at stack <sub>2</sub> ( <b>the</b> ); remove stack <sub>2</sub> ( <b>the</b> )	<i>iobj(book, me)</i>
the	RightArc(label): assert relation between head at stack <sub>2</sub> ( <b>the</b> ) and dependent at stack <sub>1</sub> ( <b>flight</b> ); remove stack <sub>1</sub> ( <b>flight</b> )	<i>nmod(flight, morning)</i>
book		<i>det(flight, the)</i>
∅	Shift: Remove word from front of input buffer and push it onto stack	

stack	action	arc
flight	LeftArc(label): assert relation between head at stack <sub>1</sub> (flight) and dependent at stack <sub>2</sub> (book); remove stack <sub>2</sub> (book)	<i>iobj(book, me)</i> <i>nmod(flight, morning)</i>
book	RightArc(label): assert relation between head at stack <sub>2</sub> (book) and dependent at stack <sub>1</sub> (flight); remove stack <sub>1</sub> (flight)	<i>det(flight, the)</i> <i>obj(book, flight)</i>
∅	Shift: Remove word from front of input buffer and push it onto stack	

This is our parse

stack	action	arc
		$iobj(book, me)$
	LeftArc(label): assert relation between head at stack <sub>1</sub> (book) and dependent at stack <sub>2</sub> ( $\emptyset$ ): remove stack <sub>2</sub> ( $\emptyset$ )	$nmod(flight, morning)$
book	RightArc(label): assert relation between head at stack <sub>2</sub> ( $\emptyset$ ) and dependent at stack <sub>1</sub> (book); remove stack <sub>1</sub> (book)	$det(flight, the)$
$\emptyset$	Shift: Remove word from front of input buffer and push it onto stack	$obj(book, flight)$ $root(\emptyset, book)$

This is our parse



Let's go back to this earlier configuration

the morning flight

stack

---

action

---

arc

---

me

LeftArc(label): assert relation  
between head at stack<sub>1</sub> (me)  
and dependent at stack<sub>2</sub>  
(book); remove stack<sub>2</sub> (book)

book

RightArc(label): assert relation  
between head at stack<sub>2</sub>  
(book) and dependent at  
stack<sub>1</sub> (me); remove stack<sub>1</sub>  
(me)

∅

Shift: Remove word from front  
of input buffer (the) and push  
it onto stack

- This is a multiclass classification problem: given the current configuration — i.e., the elements in the stack, the words in the buffer, and the arcs created so far, what's the best transition?

Output space  $\mathcal{Y} =$



Features are scoped over the stack,  
buffer, and arcs created so far

stack

---

me

book

buffer

---

the morning flight

arc

---

feature	example
stack <sub>1</sub> = me	1
stack <sub>2</sub> = book	1
stack <sub>1</sub> POS = PRP	1
buffer <sub>1</sub> = the	1
buffer <sub>2</sub> = morning	1
buffer <sub>1</sub> = today	0
buffer <sub>1</sub> POS = RB	0
stack <sub>1</sub> = me AND stack <sub>2</sub> = book	1
stack <sub>1</sub> = PRP AND stack <sub>2</sub> = VB	1
iobj(book,*) in arcs	0

Use any multiclass classification model

- Logistic regression
- SVM
- NB
- Neural network

feature	example	$\beta$
stack <sub>1</sub> = me	1	0.7
stack <sub>2</sub> = book	1	1.3
stack <sub>1</sub> POS = PRP	1	6.4
buffer <sub>1</sub> = the	1	-1.3
buffer <sub>2</sub> = morning	1	-0.07
buffer <sub>1</sub> = today	0	0.52
buffer <sub>1</sub> POS = RB	0	-2.1
stack <sub>1</sub> = me AND stack <sub>2</sub> =	1	0
stack <sub>1</sub> = PRP AND stack <sub>2</sub> =	1	-0.1
iobj(book,*) in arcs	0	3.2

# Training

We're training to predict the parser action —Shift, RightArc(label), LeftArc(label)—given the featurized configuration

Configuration features	Label
<stack1 = me, 1>, <stack2 = book, 1>, <stack1 POS = PRP, 1>, <buffer1 = the, 1>,	Shift
<stack1 = me, 0>, <stack2 = book, 0>, <stack1 POS = PRP, 0>, <buffer1 = the, 0>,	RightArc(det)
<stack1 = me, 0>, <stack2 = book, 1>, <stack1 POS = PRP, 0>, <buffer1 = the, 0>,	RightArc(nsubj)

# Neural Shift-Reduce Parsing

- We can train a neural shift-reduce parser by just changing how we:
  - represent the configuration
  - predict the label from that representation
- Otherwise training and prediction remains the same.

# Neural Shift-Reduce Parsing

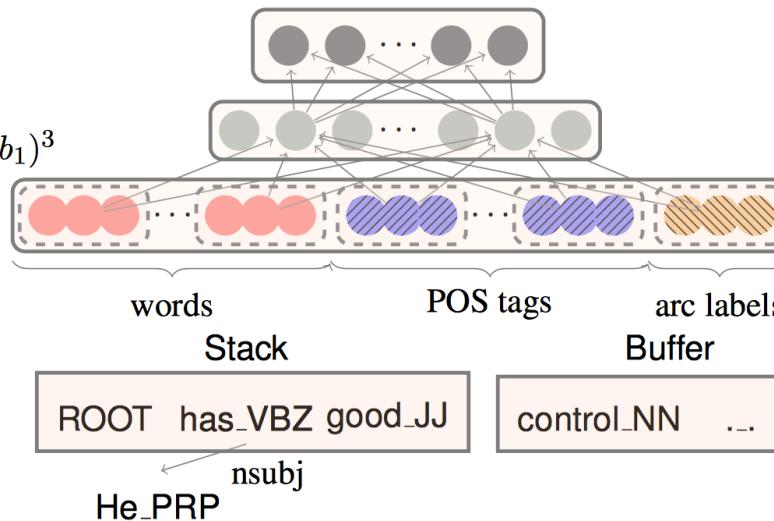
## Softmax layer:

$$p = \text{softmax}(W_2 h)$$

## Hidden layer:

$$h = (W_1^w x^w + W_1^t x^t + W_1^l x^l + b_1)^3$$

**Input layer:**  $[x^w, x^t, x^l]$



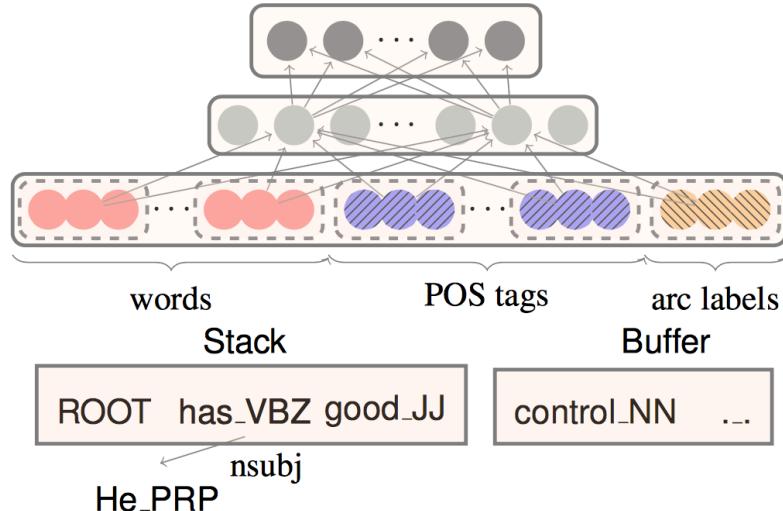
# Neural Shift-Reduce Parsing

Representation for configuration:

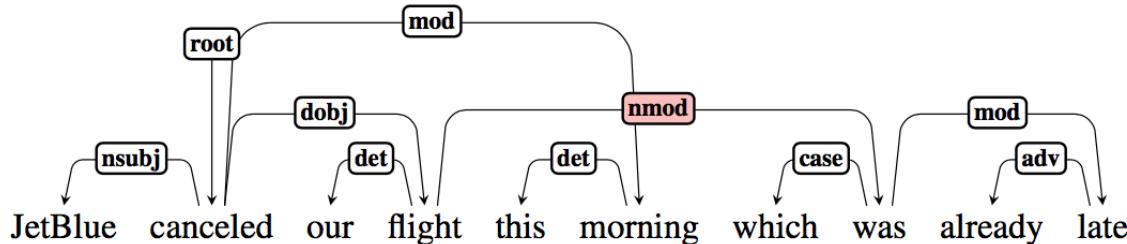
- Embeddings for words/POS tags on top of stack
- Embeddings for words/POS tags at front of buffer
- Embeddings for existing arc labels

Classifier:

- Feed-forward neural network (input representation has a fixed dimensionality)



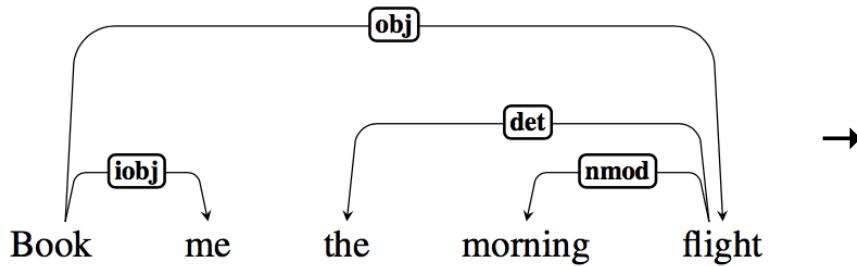
# Training data



Our training data comes from treebanks (native dependency syntax or converted to dependency trees).

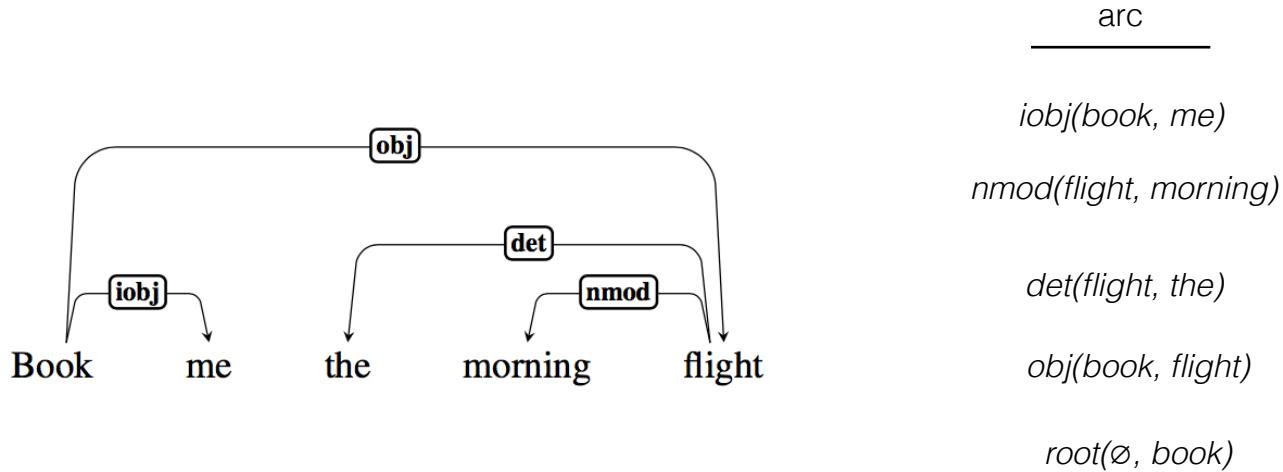
# Oracle

- An algorithm for converting a gold-standard dependency tree into **a series of actions** a transition-based parser should follow to yield the tree.



Configuration features	Label
<stack1 = "">>, <stack2 = "">>, <stack1 POS = "">>, <buffer1 = "">>,	Shift
<stack1 = ">>, <stack2 = "">>, <stack1 POS = ">>, <buffer1 = book>,	Shift
<stack1 = book>, <stack2 = ">>, <stack1 POS = VB>, <buffer1 = me>,	Shift

This is our parse



$\emptyset$  book me the morning flight

stack

action

gold tree

$iobj(book, me)$

$nmod(flight, morning)$

$det(flight, the)$

$obj(book, flight)$

$root(\emptyset, book)$

$\emptyset$  book me the morning flight

stack	action	gold tree
	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	$iobj(book, me)$
	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	$nmod(flight, morning)$
	Else shift: Remove word from front of input buffer and push it onto stack	$det(flight, the)$ $obj(book, flight)$ $root(\emptyset, book)$

root( $\emptyset$ , book) exists but book has dependents in gold tree!

book me the morning flight

stack

action

gold tree

Choose LeftArc(label) if  
 $\text{label}(\text{stack}_1, \text{stack}_2)$  exists in  
gold tree. Remove stack<sub>2</sub>.

$iobj(book, me)$   
 $nmod(flight, morning)$

Else choose RightArc(label) if  
 $\text{label}(\text{stack}_2, \text{stack}_1)$  exists in  
gold tree and all arcs  
 $\text{label}(\text{stack}_1, *)$ . have been  
generated. Remove stack<sub>1</sub>

$det(flight, the)$   
 $obj(book, flight)$

$\emptyset$

Else shift: Remove word from  
front of input buffer and push  
it onto stack

$root(\emptyset, book)$

$iobj(book, me)$  exists and me  
has no dependents in gold tree

me the morning flight

stack	action	gold tree
book	Choose LeftArc(label) if $label(stack_1, stack_2)$ exists in gold tree. Remove stack <sub>2</sub> .	$iobj(book, me)$
$\emptyset$	Else choose RightArc(label) if $label(stack_2, stack_1)$ exists in gold tree and all arcs $label(stack_1, *)$ . have been generated. Remove stack <sub>1</sub>	$nmod(flight, morning)$
	Else shift: Remove word from front of input buffer and push it onto stack	$det(flight, the)$
		$obj(book, flight)$
		$root(\emptyset, book)$

the morning flight

stack	action	gold tree
me	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	 $iobj(book, me)$
book	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	$nmod(flight, morning)$
$\emptyset$	Else shift: Remove word from front of input buffer and push it onto stack	$det(flight, the)$ $obj(book, flight)$ $root(\emptyset, book)$

morning flight

stack	action	gold tree
	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	 $iobj(book, me)$
the	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	$nmod(flight, morning)$
book		$det(flight, the)$
$\emptyset$	Else shift: Remove word from front of input buffer and push it onto stack	$obj(book, flight)$ $root(\emptyset, book)$

flight

stack	action	gold tree
morning	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	 $iobj(book, me)$
the	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	$nmod(flight, morning)$
book	Else shift: Remove word from front of input buffer and push it onto stack	$det(flight, the)$
$\emptyset$		$obj(book, flight)$
		$root(\emptyset, book)$

nmod(flight, morning)

stack	action	gold tree
flight	Choose LeftArc(label) if <i>label(stack<sub>1</sub>, stack<sub>2</sub>)</i> exists in gold tree. Remove stack <sub>2</sub> .	<input checked="" type="checkbox"/> <i>iobj(book, me)</i>
morning	Else choose RightArc(label) if <i>label(stack<sub>2</sub>, stack<sub>1</sub>)</i> exists in gold tree and all arcs <i>label(stack<sub>1</sub>, *)</i> . have been generated. Remove stack <sub>1</sub>	<input checked="" type="checkbox"/> <i>nmod(flight, morning)</i>
the		<i>det(flight, the)</i>
book		<i>obj(book, flight)</i>
∅	Else shift: Remove word from front of input buffer and push it onto stack	<i>root(∅, book)</i>

det(flight,the)

stack	action	gold tree
flight	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	<input checked="" type="checkbox"/> $iobj(book, me)$
the	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	<input checked="" type="checkbox"/> $nmod(flight, morning)$
book	Else shift: Remove word from front of input buffer and push it onto stack	<input checked="" type="checkbox"/> $det(flight, the)$ $obj(book, flight)$ $root(\emptyset, book)$
$\emptyset$		

obj(book,flight)

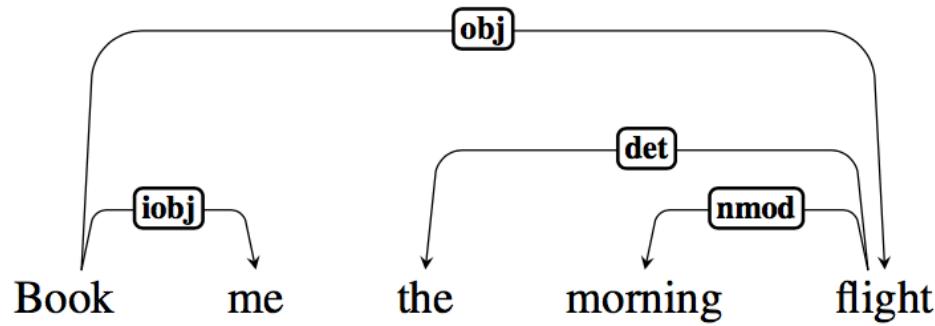
stack	action	gold tree
flight	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	<input checked="" type="checkbox"/> $iobj(book, me)$
book	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	<input checked="" type="checkbox"/> $nmod(flight, morning)$
$\emptyset$	Else shift: Remove word from front of input buffer and push it onto stack	<input checked="" type="checkbox"/> $det(flight, the)$ <input checked="" type="checkbox"/> $obj(book, flight)$ $root(\emptyset, book)$

*root( $\emptyset$ , book) and book has no more dependents we haven't seen*

stack	action	gold tree
book	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .	<input checked="" type="checkbox"/> <i>iobj(book, me)</i>
$\emptyset$	Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>	<input checked="" type="checkbox"/> <i>nmod(flight, morning)</i>
	Else shift: Remove word from front of input buffer and push it onto stack	<input checked="" type="checkbox"/> <i>det(flight, the)</i>
		<input checked="" type="checkbox"/> <i>obj(book, flight)</i>
		<input checked="" type="checkbox"/> <i>root(<math>\emptyset</math>, book)</i>

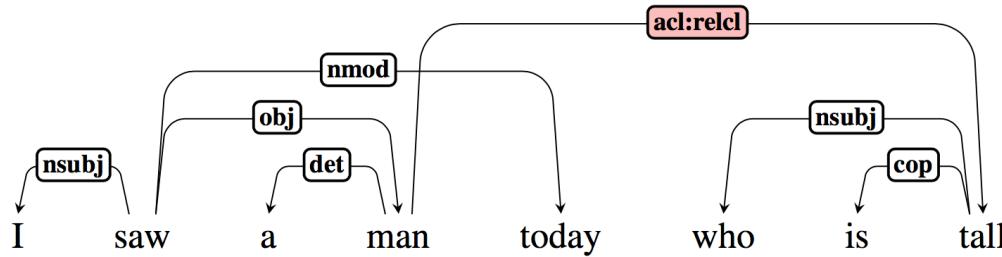
With only  $\emptyset$  left on the stack and nothing in the buffer, we're done

stack	action	gold tree
$\emptyset$	Choose LeftArc(label) if $\text{label}(\text{stack}_1, \text{stack}_2)$ exists in gold tree. Remove stack <sub>2</sub> .  Else choose RightArc(label) if $\text{label}(\text{stack}_2, \text{stack}_1)$ exists in gold tree and all arcs $\text{label}(\text{stack}_1, *)$ . have been generated. Remove stack <sub>1</sub>  Else shift: Remove word from front of input buffer and push it onto stack	<input checked="" type="checkbox"/> $iobj(book, me)$ <input checked="" type="checkbox"/> $nmod(flight, morning)$
		<input checked="" type="checkbox"/> $det(flight, the)$ <input checked="" type="checkbox"/> $obj(book, flight)$ <input checked="" type="checkbox"/> $root(\emptyset, book)$



Shift
Shift
Shift
RightArc(iobj)
Shift
Shift
Shift
LeftArc(nmod)
LeftArc(det)
RightArc(obj)
RightArc(root)

# Projectivity



- What happens if you run an oracle on a sentence with a non-projective parse tree?

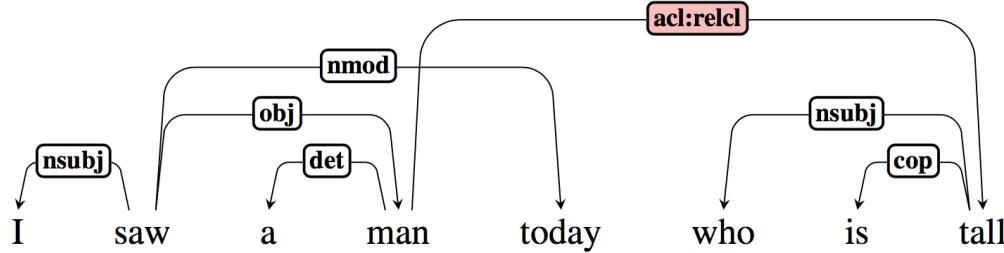
# Graph-based parsing

- For a given sentence  $S$ , we want to find the highest-scoring tree among all possible trees for that sentence  $\mathcal{G}_S$

$$\hat{T}(S) = \arg \max_{t \in \mathcal{G}_S} \text{score}(t, S)$$

- Edge-factored scoring: the total score of a tree is the sum of the scores for all of its edges (arcs):

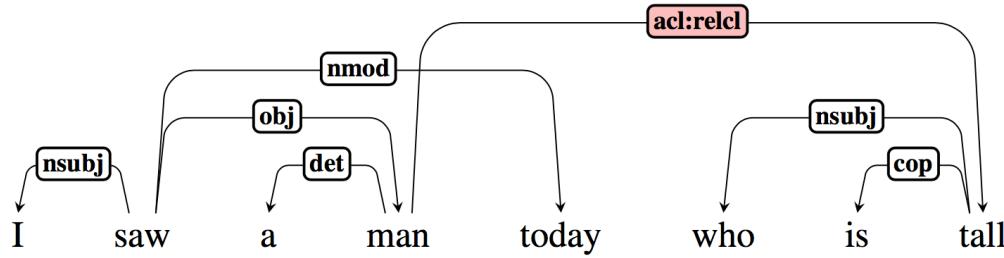
$$\text{score}(t, S) = \sum_{e \in t} \text{score}(e)$$



### Edge-factored features

- Word form of head/dependent
- POS tag of head/dependent
- Distributed representation of h/d
- Distance between h/d
- POS tags between h/d
- Head to left of dependent?

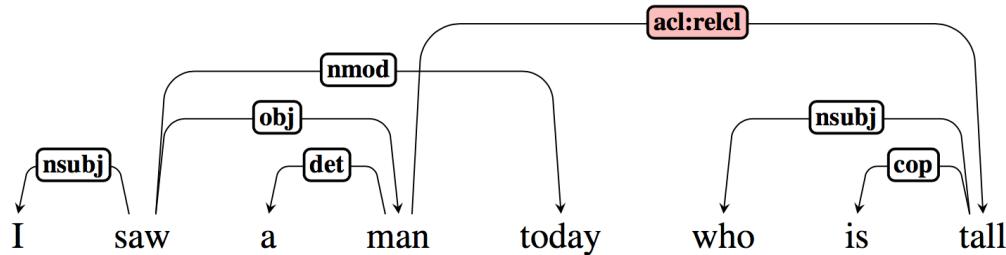
$\text{head}_t = \text{man}$	1
$\text{child}_t = \text{tall}$	1
distance	4
$\text{child}_{\text{pos}} = \text{JJ}$ and $\text{head}_{\text{pos}} = \text{NN}$	1
$\text{child}_{\text{pos}} = \text{NN}$ and $\text{head}_{\text{pos}} = \text{JJ}$	0



$$\text{score}(e) = \sum_{i=1}^F x_i \beta_i$$


## Feature value

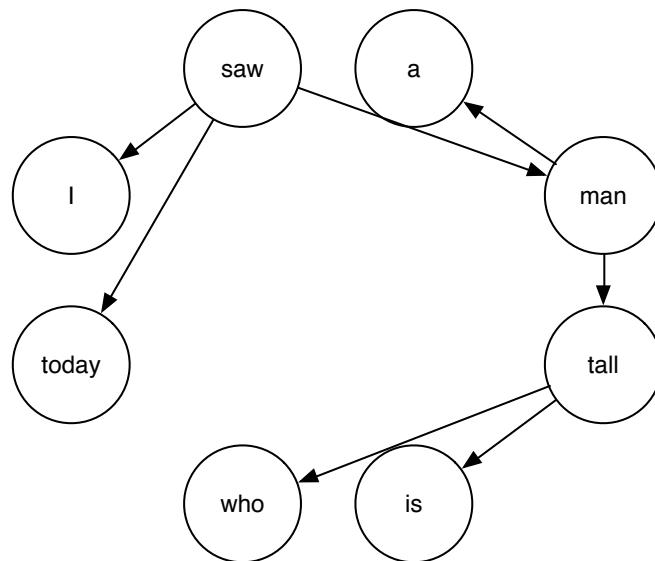
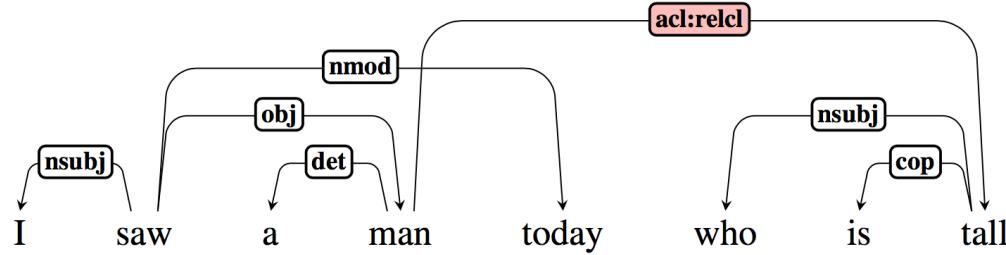
Learned coefficient for that  
feature



$$\text{score}(e) = \sum_{i=1}^F x_i \beta_i$$

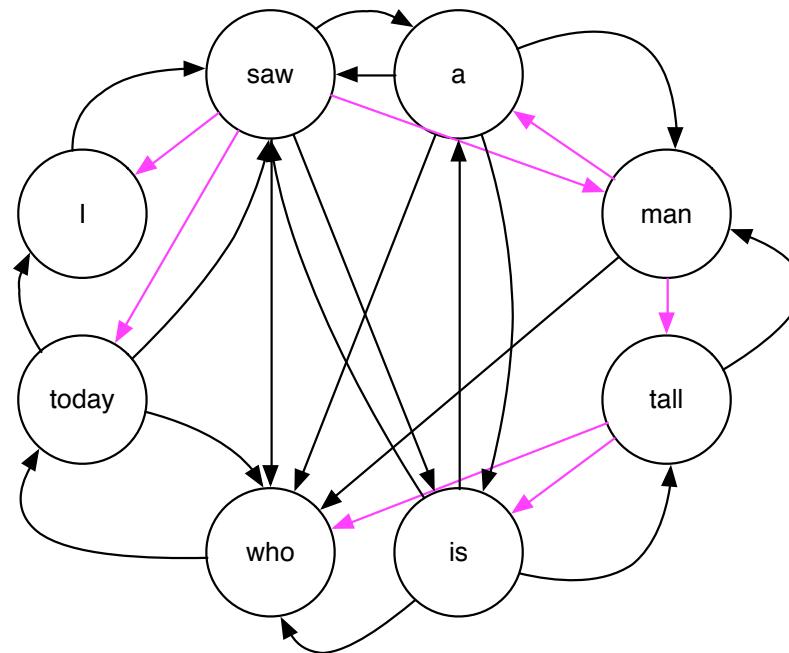
	x	$\beta$
head <sub>t</sub> = man	1	3.7
child <sub>t</sub> = tall	1	1.3
distance	4	0.7
child <sub>pos</sub> = JJ and head <sub>pos</sub> = NN	1	0.3
child <sub>pos</sub> = NN and head <sub>pos</sub> = JJ	0	-2.7

$$\text{score}(e) = 8.1$$



# MST Parsing

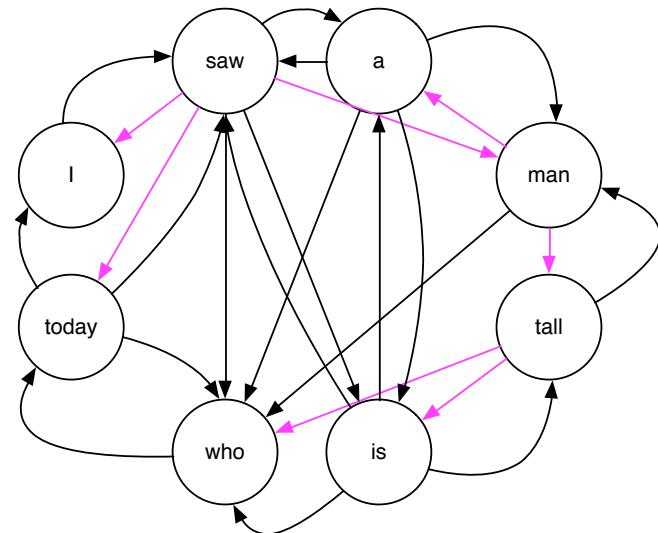
- We start out with a fully connected graph with a score for each edge
- $N^2$  edges total



(Assume one edge connects each node as dependent and node as head,  $N^2$  total)

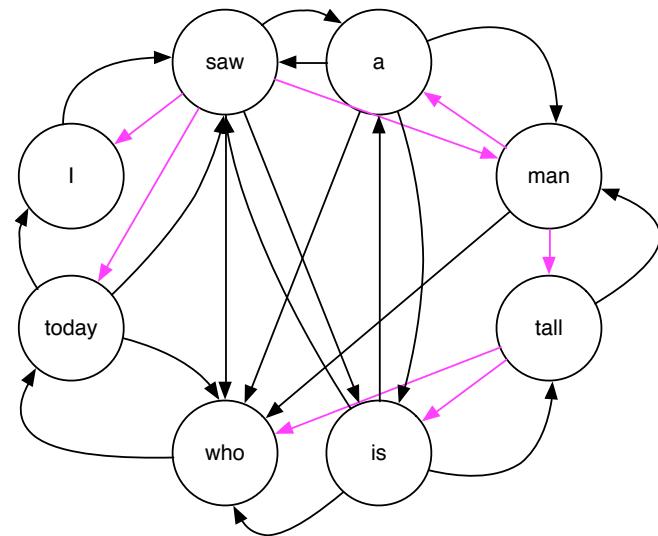
# MST Parsing

- From this graph  $G$ , we want to find a **spanning tree** (tree that spans  $G$  [includes all the vertices in  $G$ ])
- If the edges have weights, the best parse is the **maximal spanning tree** (the spanning tree with the highest total weight).



# MST Parsing

- To find the MST of any graph, we can use the Chu-Liu-Edmonds algorithm in  $O(n^3)$  time.
- More efficient Gabow et al. find the MST in  $O(n^2+n \log n)$



# Learning

$\phi$  is our feature vector scoped over the source dependent, target head and entire sentence  $x$

$$\hat{T}(S) = \arg \max_{t \in \mathcal{G}_S} \text{score}(t, S)$$

both are vectors

$$\hat{T}(S) = \arg \max_{t \in \mathcal{G}_S} \sum_{e \in E} \phi(e, x)^\top \beta$$

$$\hat{T}(S) = \arg \max_{t \in \mathcal{G}_S} \left[ \sum_{e \in E} \phi(e, x) \right]^\top \beta$$

# Learning

$$\hat{T}(S) = \arg \max_{t \in \mathcal{G}_S} \text{score}(t, S)$$

- Given this formulation, we want to learn weights for  $\beta$  that make the score for the gold tree higher than for all other possible trees.
- That's expensive, so let's just try to make the score for the gold tree higher than **the single best tree** we predict (if it's wrong)

# Learning

$$\left[ \sum_{e \in E} \phi(e, x) \right]^\top \beta = \Phi_{gold}(E, x)^\top \beta$$

**score for gold tree in treebank**

$$\left[ \sum_{e \in \hat{E}} \phi(e, x) \right]^\top \beta = \hat{\Phi}_{pred}(\hat{E}, x)^\top \beta$$

**score for argmax tree in our model**

# Learning

- We can optimize this using SGD by taking the derivative with respect to beta.

$$\Phi_{gold}(E, x)^\top \beta - \hat{\Phi}_{pred}(\hat{E}, x)^\top \beta$$

$$= \left[ \Phi_{gold}(E, x) - \hat{\Phi}_{pred}(\hat{E}, x) \right]^\top \beta$$

$$\frac{\partial}{\partial \beta} \left[ \Phi_{gold}(E, x) - \hat{\Phi}_{pred}(\hat{E}, x) \right]^\top \beta = \Phi_{gold}(E, x) - \hat{\Phi}_{pred}(\hat{E}, x)$$

# Structured Perceptron

---

**Algorithm 1** Structured perceptron

---

```
1: function PERCEPTRONUPDATE( $x, E, \beta$ )
2:    $\Phi_{gold}(E, x) \leftarrow \text{createFeatures}(x, E)$ 
3:    $\hat{E} \leftarrow \text{CLE}(x, \beta)$ 
4:    $\hat{\Phi}_{pred}(\hat{E}, x) \leftarrow \text{createFeatures}(x, \hat{E})$ 
5:    $\beta \leftarrow \beta + \Phi_{gold}(E, x) - \hat{\Phi}_{pred}(\hat{E}, x)$ 
6: end function
```

---

Create feature vector from true tree

Use CLE to find best tree given current  $\beta$

Create feature vector from best predicted tree

Update  $\beta$  with the difference between the  
feature vectors