

## Applied Natural Language Processing

Info 256
Lecture 24: Information extraction (Nov. 20, 2023)
David Bamman, UC Berkeley

## Project presentations next Monday!

- Create a single slide (pdf) representing your work and discuss it in 3 minutes.
- Your slide should outline your research goals, any data you've used, models, results, and analysis with enough detail for others to provide feedback on your project from that slide alone.
- Submit to bCourses by noon on Monday 11/27!


## LEARN TO INVEST

## Don't know where to start? Sign up for the Investing Basics newsletter.

## SEC Probing Tesla CEO Musk's Tweets: Reports

By Deborah DSouza | Updated August 9, 2018-4:47 AM EDT
On Tuesday, Tesla Inc. (TSLA) CEO Elon Musk made the dramatic announcement that he was considering taking Tesla private for $\$ 420$ a share on Twitter. In an email sent to Tesla employees posted on the company's official blog, Musk explained that he is mulling taking the firm private to protect it from short sellers and wild swings in stock prices. However, the email didn't provide any details regarding financing. (See also: What if Tesla Goes Private?)

https://en.wikipedia.org/wiki/Pride_and_Prejudice

## Information extraction

- Named entity recognition
- Entity linking
- Relation extraction


## Named entity recognition

[tim cook]per is the ceo of [apple]org

- Identifying spans of text that correspond to typed entities


## Relation extraction

The Big Sleep is a 1946 film noir directed by Howard Hawks, ${ }^{[2[3]}$ the first film version of Raymond Chandler's 1939 novel of the same name. The film stars Humphrey Bogart as private detective Philip Marlowe and Lauren Bacall as Vivian Rutledge in a story about the "process of a criminal investigation, not its results." ${ }^{[4]}$ William Faulkner, Leigh Brackett and Jules Furthman cowrote the screenplay.

| subject | predicate | object |
| :---: | :---: | :---: |
| The Big Sleep | directed_by | Howard Hawks |
| The Big Sleep | stars | Humphrey Bogart |
| The Big Sleep | stars | Lauren Bacall |
| The Big Sleep | screenplay_by | William Faulkner |
| The Big Sleep | screenplay_by | Leigh Brackett |
| The Big Sleep | screenplay_by | Jules Furthman |

## Relation extraction



Figure 17.9 The 17 relations used in the ACE relation extraction task.

## Relation extraction

| Entity | Relation | Entity |
| :--- | :--- | :--- |
| Injury | disrupts | Physiological Function |
| Bodily Location | location-of | Biologic Function |
| Anatomical Structure | part-of | Organism |
| Pharmacologic Substance | causes | Pathological Function |
| Pharmacologic Substance | treats | Pathologic Function |

## Regular expressions

- Regular expressions are precise ways of extracting high-precision relations
- " $\mathrm{NP}_{1}$ is a film directed by $\mathrm{NP}_{2}$ " $\rightarrow$ directed_by $\left(\mathrm{NP}_{1}\right.$, $\mathrm{NP}_{2}$ )
- " $\mathrm{NP}_{1}$ was the director of $\mathrm{NP}_{2}$ " $\rightarrow$ directed_by $\left(\mathrm{NP}_{2}, \mathrm{NP}_{1}\right)$


## Hearst patterns

\(\left.\begin{array}{|c|c|}\hline pattern \& sentence <br>
\hline NP\left\{, \mathrm{NP}^{\star}\{,\} (and|or) other \mathrm{NP}_{\mathrm{H}}\right. \& temples, treasuries, and other important civic <br>

buildings\end{array}\right]\)| red algae such as Gelidium |
| :---: | :---: |

## Supervised relation extraction

[The Big Sleep]m1 is a 1946 film noir directed by [Howard Hawks]m2, the first film version of Raymond Chandler's 1939 novel of the same name.

| feature(m1, m2) |
| :---: |
| headwords of $\mathrm{m} 1, \mathrm{~m} 2$ |
| bag of words in $\mathrm{m} 1, \mathrm{~m} 2$ |
| bag of words between $\mathrm{m} 1, \mathrm{~m} 2$ |
| named entity types of $\mathrm{m} 1, \mathrm{~m} 2$ |
| syntactic path between $\mathrm{m} 1, \mathrm{~m} 2$ |

## Supervised relation extraction

[The Big Sleep]m1 is a 1946 film noir directed by [Howard Hawks]m2, the first film version of Raymond Chandler's 1939 novel of the same name.

[The Big Sleep] ${ }_{m 1} \leftarrow n$ nsubjpass directed $\rightarrow$ obl:agent [Howard Hawks]m2,

$$
\mathrm{m} 1 \leftarrow \text { nsubjpass } \leftarrow \text { directed } \rightarrow \text { obl:agent } \rightarrow \mathrm{m} 2
$$




## Distant supervision

- It's uncommon to have labeled data in the form of <sentence, relation> pairs
sentence
[The Big Sleep] ${ }_{m 1}$ is a 1946 film noir directed by
[Howard Hawks]m2, the first film version of Raymond
Chandler's 1939 novel of the same name.
relations
directed_by(The Big Sleep, Howard Hawks)


## Distant supervision

- More common to have knowledge base data about entities and their relations that's separate from text.
- We know the text likely expresses the relations somewhere, but not exactly where.


## Wikipedia Infoboxes

The Big Sleep is a 1946 film noir directed by Howard Hawks, ${ }^{[2][3]}$ the first film version of Raymond Chandler's 1939 novel of the same name. The film stars Humphrey Bogart as private detective Philip Marlowe and Lauren Bacall as Vivian Rutledge in a story about the "process of a criminal investigation, not its results." ${ }^{[4]}$ William Faulkner, Leigh Brackett and Jules Furthman co-wrote the screenplay.

A remake starring Robert Mitchum as Philip Marlowe was released in 1978. This was the second film in three years featuring Mitchum as Marlowe. The remake was arguably more faithful to the novel, possibly due to fewer restrictions in 1978 on what could be portrayed on screen, however, it was far less successful than the original 1946 version. In 1997, the U.S. Library of Congress deemed the film "culturally, historically, or aesthetically significant," and added it to the National Film Registry.


| Relation name | Size | Example |
| :--- | ---: | :--- |
| /people/person/nationality | 281,107 | John Dugard, South Africa |
| /location/location/contains | 253,223 | Belgium, Nijlen |
| /people/person/profession | 208,888 | Dusa McDuff, Mathematician |
| /poople/person/place_of_birth | 105,799 | Edwin Hubble, Marshfield |
| /dining/restaurant/cuisine | 86,213 | MacAyo's Mexican Kitchen, Mexican |
| /business/business_chain/location | 66,529 | Apple Inc., Apple Inc., South Park, NC |
| /biology/organism_classification_rank | 42,806 | Scorpaeniformes, Order |
| /film/film/genre | 40,658 | Where the Sidewalk Ends, Film noir |
| /film/film/language | 31,103 | Enter the Phoenix, Cantonese |
| /biology/organism_higher_classification | 30,052 | Calopteryx, Calopterygidae |
| /film/film/country | 27,217 | Turtle Diary, United States |
| /film/writer/film | 23,856 | Irving Shulman, Rebel Without a Cause |
| /film/director/film | 23,539 | Michael Mann, Collateral |
| /film/producer/film | 22,079 | Diane Eskenazi, Aladdin |
| /people/deceased_person/place_of_death | 18,814 | John W. Kern, Asheville |
| /music/artist/origin | 18,619 | The Octopus Project, Austin |
| /people/person/religion | 17,582 | Joseph Chartrand, Catholicism |
| /book/author/works_written | 17,278 | Paul Auster, Travels in the Scriptorium |
| /soccer/football_position/players | 17,244 | Midfielder, Chen Tao |
| /people/deceased_person/cause_of_death | 16,709 | Richard Daintree, Tuberculosis |
| /book/book/genre | 16,431 | Pony Soldiers, Science fiction |
| /film/film/music | 14,070 | Stavisky, Stephen Sondheim |
| /business/company/industry | 13,805 | ATS Medical, Health care |

Table 2: The 23 largest Freebase relations we use, with their size and an instance of each relation.

## Distant supervision

mayor(Maynard Jackson, Atlanta)

Elected mayor of Atlanta in 1973, Maynard Jackson...

Atlanta's airport will be renamed to honor Maynard Jackson, the city's first Black mayor

Born in Dallas, Texas in 1938, Maynard Holbrook Jackson, Jr. moved to Atlanta when he was 8.

## Distant supervision

- For feature-based models, we can represent the tuple <m1, m2> by aggregating together the representations from all the sentences they appear in


## Distant supervision

[The Big Sleep]m1 is a 1946 film noir directed by [Howard Hawks]m2, the first film version of Raymond Chandler's 1939 novel of the same name.
[Howard Hawks]m2 directed the [The Big Sleep]m1

| feature $(\mathrm{m} 1, \mathrm{~m} 2)$ | value (e.g., normalized over all sentences) |
| :---: | :---: |
| "directed" between $\mathrm{m} 1, \mathrm{~m} 2$ | 0.37 |
| "by" between $\mathrm{m} 1, \mathrm{~m} 2$ | 0.42 |
| $\mathrm{~m} 1 \leftarrow$ nsubjpass $\leftarrow$ directed $\rightarrow$ obl:agent $\rightarrow \mathrm{m} 2$ | 0.13 |
| $\mathrm{~m} 2 \leftarrow$ nsubj $\leftarrow$ directed $\rightarrow$ obj $\rightarrow \mathrm{m} 2$ | 0.08 |

## Distant supervision

- Discovering Hearst patterns from distant supervision using WordNet (Snow et al. 2005)

| pattern | sentence |
| :---: | :---: |
| $N P_{H}$ like NP | Many hormones like leptin... |
| $N P_{H}$ called NP | a markup language called XHTML |
| $N P$ is a NPH | Ruby is a programming language... |
| $N P, a N P_{H}$ | IBM, a company with a long... |

## Multiple Instance Learning

- Labels are assigned to a set of sentences, each containing the pair of entities m 1 and m 2 ; not all of those sentences express the relation between m 1 and m 2 .


## Attention

- Let's incorporate structure (and parameters) into a network that captures which sentences in the input we should be attending to (and which we can ignore).




sentence
encoding
[The Big Sleep]mi is a 1946 film noir directed by [Howard Hawks]m2
[Howard Hawks]m2 directed [The Big Sleep]m1

After [The Big Sleep]m1 [Howard Hawks]m2 married Dee Hartford

## Information Extraction

- Named entity recognition
- Entity linking
- Relation extraction
- Template filling
- Event detection
- Event coreference
- Extra-propositional information (veridicality, hedging)



## Applied Natural Language Processing

Info 256
Lecture 25: Sequence alignment (Nov. 20, 2023)
David Bamman, UC Berkeley
"Five score years ago, a great American, in whose symbolic shadow we stand today, signed the Emancipation Proclamation."
"Four score and seven years ago our fathers brought forth on this continent, a new nation, conceived in Liberty, and dedicated to the proposition that all men are created equal."


## Sequence alignment

## Wilkerson et al. 2014, "Tracing the Flow of Policy Ideas in Legislatures: A Text Reuse Approach"

ing mothers a in general section 7 of the fair labor standards act 29 usc 207 is amended by adding at the end the following r 1 an employer shall provide - reasonable break time for an employee to express breast milk for her nursing child for 1 year after the childs birth each time such employee has need to express the milk the employer shall make reasonable efforts to provide a place other than a bathroom that is shielded from view and free from in trusion from coworkers and the public which may be used by an employee to express breast milk- an employer shall not be required to compen sate an employee $\qquad$ any work time spent for such purpose 2 for purposes of this subsection the term employer means an employ
ing mothers
section
7 of the fair labor standards act of 193829 usc 207 is amended by adding at the end the following r 1 an employer shall provide a a reasonable break time for an employee to express breast milk for her nursing child for 1 year after the childs birth each time such employee has need to express the milk and $\qquad$
b a place
other than a bathroom that is shielded from view and free from intrusion from coworkers and the public which may be used by an employee to express breast milk 2 an employer shall not be required to compensate an employee receiving reasonable break time under paragraph 1 for any work time spent for such purpose 3 $\qquad$ ploy

Table 1: A Local Alignment Example

## Sequence alignment

| Category of Alignment | Proportion of Total | Example |
| :--- | :--- | :--- |
| Religious | $11 \%$ | Father Which art in Heaven hallowed be thy Name |
| Lyric | $10 \%$ | Amazing Grace, how sweet the sound, That saved a wretch |
| Self-Citation | $4 \%$ | asked: "Did I snore?" "Terribly", he said, "you sounded like a chain saw |
| Juridical | $4 \%$ | find this defendant guilty of murder in the first degree |
| Quotation | $6 \%$ | Patrick Henry said 'Give me liberty or give me death' |
| Aphorism/Saying | $2 \%$ | to make a long story short |
| Onomatopoeia | $2 \%$ | Kitty-kitty-kitty, here kitty-kitty-kitty |

## Spelling correction

It was the best of times, it was the blurst of times

## Levenshtein distance

- For a pair of strings, the minimal number of insert, delete and substitution operations required to transform one into the other.


## pints

$$
++
$$

- Each operation has a cost:
pints
- insert: 1
- delete: 1
- substitution: 2


## Levenshtein distance

- For a pair of strings, the minimal number of insert, delete and substitution operations required to transform one into the other.


# bin 

- Each operation has a cost:
- insert: 1
- delete: 1
- substitution: 2


## Levenshtein distance

- For a pair of strings, the minimal number of insert, delete and substitution operations required to transform one into the other.
- Each operation has a cost:
- insert: 1
- delete: 1
- substitution: 2

Levenshtein distance: 2

## Levenshtein distance

- For a pair of strings, the minimal number of insert, delete and substitution operations required to transform one into the other.


## Shaxper

- Each operation has a cost:


## Shakespeare

- insert: 1
- delete: 1
- substitution: 2
$\mathrm{scost}=0$ if $\mathrm{t}[\mathrm{i}]=\mathrm{s}[\mathrm{i}]$, otherwise 2

$$
d_{i, j}=\min (
$$

insert
$d_{i-1, j}+1$
delete
$d_{i, j-1}+1$
substitute
$d_{i-1, j-1}+$ scost
)

|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 |  |  |  |  |  |  |  |
| h | 2 |  |  |  |  |  |  |  |
| a | 3 |  |  |  |  |  |  |  |
| k | 4 |  |  |  |  |  |  |  |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
| e | 11 |  |  |  |  |  |  |  |

$\mathrm{scost}=0$ if $\mathrm{t}[\mathrm{i}]=\mathrm{s}[\mathrm{i}]$, otherwise 2

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)

|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 |  |  |  |  |  |  |
| h | 2 |  | 0 |  |  |  |  |  |
| a | 3 |  |  | 0 |  |  |  |  |
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| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
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| e | 11 |  |  |  |  |  |  |  |

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$d_{i-1, j-1}+$ scost

|  |  | $S$ | $h$ | $a$ | $x$ | $p$ | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 |  |  |  |  |  |  |
| $h$ | 2 |  | 0 |  |  |  |  |  |
| a | 3 |  |  | 0 |  |  |  |  |
| k | 4 |  |  |  | 2 |  |  |  |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
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|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 |  |  |  |  |  |  |
| h | 2 |  | 0 |  |  |  |  |  |
| a | 3 |  |  | 0 |  |  |  |  |
| k | 4 |  |  |  | 2 | 3 | 4 | 5 |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
| e | 11 |  |  |  |  |  |  |  |

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$$
d_{i, j}=\min (
$$

insert
$d_{i-1, j}+1$
delete
$d_{i, j-1}+1$
substitute
$d_{i-1, j-1}+$ scost

|  |  | $S$ | $h$ | $a$ | $x$ | $p$ | $e$ | $r$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 |  |  |  |  |  |  |
| $h$ | 2 |  | 0 |  |  |  |  |  |
| a | 3 |  |  | 0 |  |  |  |  |
| $k$ | 4 |  |  |  | 2 | 3 | 4 | 5 |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
| e | 11 |  |  |  |  |  |  |  |

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|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 |  |  |  |  |  |  |
| h | 2 |  | 0 |  |  |  |  |  |
| a | 3 |  |  | 0 |  |  |  |  |
| k | 4 |  |  |  | 2 | 3 | 4 | 5 |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
| e | 11 |  |  |  |  |  |  |  |

$\mathrm{scost}=0$ if $\mathrm{t}[\mathrm{i}]=\mathrm{s}[\mathrm{i}]$, otherwise 2

$$
d_{i, j}=\min (
$$

insert
$d_{i-1, j}+1$
delete
$d_{i, j-1}+1$
substitute
$d_{i-1, j-1}+\mathrm{scost}$
)

|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| h | 2 | 1 | 0 | 1 | 2 | 3 | 4 | 5 |
| a | 3 |  |  |  |  |  |  |  |
| k | 4 |  |  |  |  |  |  |  |
| e | 5 |  |  |  |  |  |  |  |
| s | 6 |  |  |  |  |  |  |  |
| p | 7 |  |  |  |  |  |  |  |
| e | 8 |  |  |  |  |  |  |  |
| a | 9 |  |  |  |  |  |  |  |
| r | 10 |  |  |  |  |  |  |  |
| e | 11 |  |  |  |  |  |  |  |

$s \operatorname{cost}=0$ if $\mathrm{t}[\mathrm{i}]=\mathrm{s}[\mathrm{i}]$, otherwise 2

$$
d_{i, j}=\min (
$$

insert

$$
d_{i-1, j}+1
$$

delete
$d_{i, j-1}+1$
substitute
$d_{i-1, j-1}+\operatorname{scost}$

|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| h | 2 | 1 | 0 | 1 | 2 | 3 | 4 | 5 |
| a | 3 | 2 | 1 | 0 | 1 | 2 | 3 | 4 |
| k | 4 | 3 | 2 | 1 | 2 | 3 | 4 | 5 |
| e | 5 | 4 | 3 | 2 | 3 | 4 | 5 | 6 |
| s | 6 | 5 | 4 | 3 | 4 | 5 | 6 | 7 |
| p | 7 | 6 | 5 | 4 | 5 | 4 | 5 | 6 |
| e | 8 | 7 | 6 | 5 | 6 | 5 | 4 | 5 |
| a | 9 | 8 | 7 | 6 | 7 | 6 | 5 | 6 |
| r | 10 | 9 | 8 | 7 | 8 | 7 | 6 | 5 |
| e | 11 | 10 | 9 | 8 | 9 | 8 | 7 | 6 |

Identical S (do nothing) Identical h (do nothing)
Identical a (do nothing)
Insert k
Insert e
Replace x with s
Identical p (do nothing)
Identical e (do nothing)
Insert a
Identical r (do nothing)
Insert e

|  |  | S | h | a | x | p | e | r |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 1 | 2 | 3 | 5 | 6 | 7 | 8 |
| S | 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| h | 2 | 1 | 0 | 1 | 2 | 3 | 4 | 5 |
| a | 3 | 2 | 1 | 0 | 1 | 2 | 3 | 4 |
| k | 4 | 3 | 2 | 1 | 2 | 3 | 4 | 5 |
| e | 5 | 4 | 3 | 2 | 3 | 4 | 5 | 6 |
| s | 6 | 5 | 4 | 3 | 4 | 5 | 6 | 7 |
| p | 7 | 6 | 5 | 4 | 5 | 4 | 5 | 6 |
| e | 8 | 7 | 6 | 5 | 6 | 5 | 4 | 5 |
| a | 9 | 8 | 7 | 6 | 7 | 6 | 5 | 6 |
| r | 10 | 9 | 8 | 7 | 8 | 7 | 6 | 5 |
| e | 11 | 10 | 9 | 8 | 9 | 8 | 7 | 6 |

## Sequence alignment

- Levenstein gives us the minimal number of operations required to transform one string into another.
- But what if we want to find the best alignment between a pair of strings?

I should'a quit you, a long time ago
I should'a quit you, baby, long time ago
I should'a quit you, and went on to Mexico
If I ha'da followed my first mind If I ha'da followed my first mind I'd'a been gone since my second time

Killing Floor, Howlin' Wolf

I should have quit you a long time ago Ooh-whoa, yeah, yeah, long time ago I wouldn't be here, my children Down on this killin' floor I should have listened, baby, a-to my second mind Oh, I should have listened, baby, to my second mind

Lemon Song, Led Zeppelin



| I | I |
| ---: | :--- |
| should | should |
| a | have |
| quit | quit |
| you | you |
| a | a |
| long | long |
| time | time |
| ago | ago |
| $\ldots$ | $\ldots$ |
| If | I |
| I | should |
| had | have |
| a | listened |
| followed | baby |
| my | a-to |
| first | my |
| mind | second |
| l'd | mind |
| $a$ |  |
| been |  |
| gone |  |

sscore $=1$ if $t[i]=s[i]$, otherwise -1 d $($ gap penalty $)=-1$

$$
d_{i, j}=\max (
$$

insert

$$
d_{i-1, j}+d
$$

delete

$$
d_{i, j-1}+d
$$

substitute
$d_{i-1, j-1}+$ sscore

|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | -1 | -2 | -3 | -4 | -5 | -6 |
| It | -1 | 1 | 0 | -1 | -2 | -3 | -4 |
| was | -2 | 0 | 2 | 1 | 0 | -1 | -2 |
| the | -3 | -1 | 1 | 3 | 2 | 1 | 0 |
| worst | -4 | -2 | 0 | 2 | 2 | 1 | 0 |
| of | -5 | -3 | -1 | 1 | 1 | 3 | 2 |
| times | -6 | -4 | -2 | 0 | 0 | 2 | 4 |

)
sscore $=1$ if $t[i]=s[i]$, otherwise -1 $d($ gap penalty $)=-1$

$$
d_{i, j}=\max (
$$

insert

$$
d_{i-1, j}+d
$$

delete

$$
d_{i, j-1}+d
$$

substitute
$d_{i-1, j-1}+$ sscore

|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | -1 | -2 | -3 | -4 | -5 | -6 |
| It | -1 | 1 | 0 | -1 | -2 | -3 | -4 |
| was | -2 | 0 | 2 | 1 | 0 | -1 | -2 |
| the | -3 | -1 | 1 | 3 | 2 | 1 | 0 |
| worst | -4 | -2 | 0 | 2 | 2 | 1 | 0 |
| of | -5 | -3 | -1 | 1 | 1 | 3 | 2 |
| times | -6 | -4 | -2 | 0 | 0 | 2 | 4 |

)

## Needleman-Wunsch

- We can think about this as a generalization of Levenshtein distance, with the ability to specify costs for individual matches/mismatches (Levenshtein "substitutions")

|  | A | G | T | C |
| :---: | :---: | :---: | :---: | :---: |
| A | 1 | -1 | -2 | -3 |
| G | -1 | 1 | -2 | -3 |
| T | -2 | -2 | 1 | -3 |
| C | -3 | -3 | -3 | 1 |


|  | a | an | dog | times |
| :---: | :---: | :---: | :---: | :---: |
| a | 1 | 0 | -1 | -1 |
| an | 0 | 1 | -1 | -1 |
| dog | -1 | -1 | 1 | -1 |
| times | -1 | -1 | -1 | 1 |

## Needleman-Wunsch

- Needleman-Wunsch is a global alignment algorithm, finding the optimal alignment for the entirety of string a and the entirety of string b .
- Many applications in text as data involve finding smaller regions of similarity within two strings.

As I walk through the valley of the shadow of death I take a look at my life and realize there's nothin' left 'Cause I've been blastin' and laughin' so long that Even my momma thinks that my mind is gone

But I ain't never crossed a man that didn't deserve it Me be treated like a punk, you know that's unheard of You better watch how you talkin' and where you walkin' Or you and your homies might be lined in chalk

I really hate to trip, but I gotta loc
As they croak, I see myself in the pistol smoke Fool, I'm the kinda G the little homies wan la be like
On my knees in the night, sayin' prayers in the streetlight

## Been spendin' most their lives Livin' in a gangsta's paradise <br> Been spendin' most their lives <br> Livin' in a gangsta's paradise

Keep spendin' most our lives
Livin' in a gangsta's paradise
Keep spendin' most our lives
Livin' in a gangsta's paradise
Look at the situation they got me facing
I can't live a normal life, I was raised by the street
So I gotta be down with the hood team
Too much television watchin', got me chasing dreams

Been spending most their lives Living in a pastime paradise
They've been spending most their lives Living in a pastime paradise

They've been wasting most their time Glorifying days long gone behind They've been wasting most their days In rememberance of ignorance oldest praise

Tell me who of them will come to be How many of them are you and me

Dissipation
Race relations
Consolation
Segregation
Dispensation
Isolation
Exploitation
Mutilation
Mutations


Miscreation
Confirmation to the evils of the world
Been spending most their lives
Living in a future paradise
They've been spending most their lives
Living in a future paradise
They've been looking in their minds
For the days that sorrow's gone from time
They keep telling of the day
When the Savior of love will come to stay

## Smith-Waterman

- Smith-Waterman alignment addresses this by focusing on local alignment.
- Two main differences from Needleman-Wunsch:
- No negative scores. This enables two regions to be similar even if they are preceded by long regions that are not the same (which would otherwise have a strong negative score under NW).
- Traceback starts with the highest score in matrix, not the bottom/rightmost corner, enabling two similar regions to be found anywhere in the strings.
sscore $=1$ if $t[i]=s[i]$, otherwise -1 d $($ gap penalty $)=-1$

$$
d_{i, j}=\max (
$$

insert

$$
d_{i-1, j}+d
$$

delete
$d_{i, j-1}+d$
substitute
$d_{i-1, j-1}+$ sscore
0

|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| It | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 0 |
| worst | 0 | 0 | 0 | 2 | 2 | 1 | 0 |
| of | 0 | 0 | 0 | 1 | 1 | 3 | 2 |
| times | 0 | 0 | 0 | 0 | 0 | 2 | 4 |

)

## Traceback:

- Find the highest score in the matrix
- Follow the source of each decision to find the best alignment
- End when a 0 score is encountered.

|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| It | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 0 |
| worst | 0 | 0 | 0 | 2 | 2 | 1 | 0 |
| of | 0 | 0 | 0 | 1 | 1 | 3 | 2 |
| times | 0 | 0 | 0 | 0 | 0 | 2 | 4 |


|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| It | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 0 |
| worst | 0 | 0 | 0 | 2 | 2 | 1 | 0 |
| of | 0 | 0 | 0 | 1 | 1 | 3 | 2 |
| times | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| it | 0 | 1 | 0 | 0 | 0 | 1 | 3 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 2 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 1 |
| age | 0 | 0 | 0 | 2 | 1 | 0 | 0 |


|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| It | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 0 |
| worst | 0 | 0 | 0 | 2 | 2 | 1 | 0 |
| of | 0 | 0 | 0 | 1 | 1 | 3 | 2 |
| times | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| it | 0 | 1 | 0 | 0 | 0 | 1 | 3 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 2 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 1 |
| age | 0 | 0 | 0 | 2 | 1 | 0 | 0 |

## Traceback:

- Find the highest score in the matrix
- Follow the source of each decision to find the best alignment
- End when a 0 score is encountered.

|  |  | It | was | the | blurst | of | times |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| It | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 0 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 0 |
| worst | 0 | 0 | 0 | 2 | 2 | 1 | 0 |
| of | 0 | 0 | 0 | 1 | 1 | 3 | 2 |
| times | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| it | 0 | 1 | 0 | 0 | 0 | 1 | 3 |
| was | 0 | 0 | 2 | 1 | 0 | 0 | 2 |
| the | 0 | 0 | 1 | 3 | 2 | 1 | 1 |
| age | 0 | 0 | 0 | 2 | 1 | 0 | 0 |

## Activity

16.sequence_alignment/Smith-Waterman Alignment

- Run Smith-Waterman alignment on song lyrics to identify instances of text reuse within them.

